



NATIONAL CREDIT UNION ADMINISTRATION

WASHINGTON, D.C. 20456

June 5, 1990

Mr. Thomas M. Lewis  
57 Adkinson Dr. East  
Pensacola, Florida 32506

Re: Complaint against Santa Rosa County Teachers  
FCU (Your March 30, 1990, Letter)

Dear Mr. Lewis:

You wrote us a follow-up letter to our March 21, 1990, response to you. You asked that we respond to each of your numbered paragraphs.

Paragraph 1. You note that you initially asked for the assistance of NCUA's Regional Office in Atlanta (Region III) in September of 1987 concerning alleged forgeries of an FCU member and that NCUA refused to respond in a prudent manner. Region III has investigated the matter and contacted the FBI. NCUA does not have enforcement authority for the charges you allege. We believe that the Region acted appropriately. NCUA cannot provide the assistance that you request.

Paragraph 2. You state that Region III has not pursued an investigation and you register a complaint about the Regional Director. You state that you asked the Regional Director to force the FCU to file a bond claim for a \$3000 forged loan and that you have asked NCUA's Inspector General to investigate your charges. Region III pursued an investigation and contacted the FBI concerning your allegations, although the Region is not aware of a \$3000 alleged forgery. The Region has been in contact with you concerning their investigation. As the Regional Director noted to you in his September 18, 1989, letter (copy enclosed), NCUA cannot force the credit union to file a bond claim or make any type of settlement. I have contacted our Inspector General and she is not aware of any request from you. If you wish to pursue a complaint concerning NCUA employees, you may contact the Inspector General directly at the following address: NCUA, Office of Inspector General, NCUA, 1776 G Street, NW, Washington, D.C. 20456.

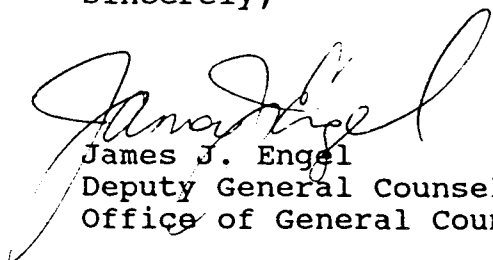
FOIA  
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Paragraphs 3 & 4. You allege that if NCUA had done its job correctly concerning the alleged forgery, you would not have had to hire your own attorney. Again, it is not NCUA's function to prove a forgery. NCUA investigated your complaint. A resolution of the forgery issue will have to be determined in another forum.

Paragraph 5. You request NCUA assistance in the recovery of a \$3000 loan which you allege was forged. You state that you have requested assistance on this loan from NCUA in the past. We contacted NCUA's Region III Office and they have no knowledge of your request on a specific \$3000 loan. (See our response to Paragraph 2.) As noted above, NCUA is not the appropriate forum to prove and resolve alleged loan forgeries. The issue will have to be resolved in a court of law if the parties cannot reach an agreement.

Sincerely,



James J. Engel  
Deputy General Counsel  
Office of General Counsel

Enclosure  
GC/HMU:bhs  
SSIC 3500  
90-0406



NATIONAL CREDIT UNION ADMINISTRATION

REGION III

September 16, 1989

OFFICE OF THE REGIONAL DIRECTOR

Thomas M. Lewis  
57 Adkinson Drive  
Pensacola, FL 32506

Dear Mr. Lewis:

Re: Santa Rosa County Teachers Federal Credit Union

After your discussion with Frank Thomas, Deputy Regional Director, a few days ago, I have forwarded copies of your complaint to Supervisory Examiner Brad Sanders for an on-site investigation. I have also forwarded copies of the alleged forgeries to the Pensacola Office of the Federal Bureau of Investigation.

After the on-site investigation by an examiner, I will advise you of the results of the investigation. However, I want to emphasize the fact that I cannot force the credit union to file a bond claim or make any type of settlement with you. A court of law is the proper forum for this type action. I hope to conclude the investigation within 45 days.

Sincerely,

JOHN S. RUFFIN  
Regional Director

III/JRE:dc  
FCU #11780

cc: SE Sanders